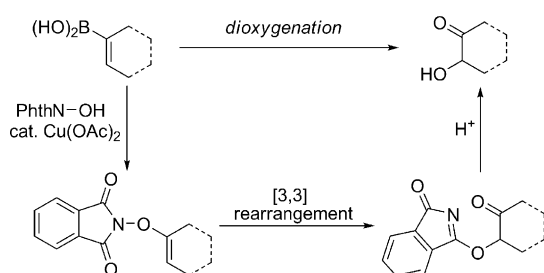


## Pericyclic Rearrangement

 Preparation of  $\alpha$ -Oxygenated Ketones by the Dioxygenation of Alkenyl Boronic Acids\*\*

Aditi S. Patil, Dong-Liang Mo, Heng-Yen Wang, Daniel S. Mueller, and Laura L. Anderson\*

The ubiquitous use of aryl, alkenyl, and alkyl boronic acids for the formation of new C–C, C–N, and C–O bonds in cross-coupling reactions is indicative of the importance of these compounds in organic synthesis.<sup>[1]</sup> Although the use of boronic acids for the preparation of a variety of new bonds is well established, we were interested in testing if the reactivity of alkenyl boronic acids could be further diversified to include dioxygenation and the synthesis of  $\alpha$ -oxygenated ketones. The conversion of alkenyl boronic acids to  $\alpha$ -oxygenated ketones would provide a unique retrosynthetic disconnection for the preparation of complicated targets containing these challenging motifs.<sup>[2–8]</sup> Towards the goal of alkenyl boronic acid dioxygenation, we hypothesized that etherification of an alkenyl boronic acid with *N*-hydroxyphthalimide would form an *N*-enoxyphthalimide poised to undergo a [3,3] rearrangement to give an  $\alpha$ -oxygenated ketone (Scheme 1). This method would avoid the use of



**Scheme 1.** Dioxygenation of alkenyl boronic acids. OAc = acetate, PhthN = phthalimide.

highly reactive electrophilic oxygenation reagents, not require the preparation of  $\alpha$ -halogenated precursors, and allow access to linear  $\alpha$ -oxygenated ketones from internal alkynes.<sup>[4,5d,7–9]</sup> Moreover, the nature of the transition state of the pericyclic reaction would allow for potential diastereoselective construction of the  $\alpha$ -oxygenated stereocenter. Herein, we describe the development of a new method for the dioxygenation of alkenyl boronic acids through a copper-

mediated etherification of *N*-hydroxyphthalimide followed by a [3,3] rearrangement to provide  $\alpha$ -hydroxy or  $\alpha$ -benzoyloxy ketones in two high-yielding steps from simple starting materials.

Our efforts towards achieving the dioxygenation of alkenyl boronic acids began with the optimization of conditions for the cross-coupling of alkenyl boronic acids and *N*-hydroxyphthalimide to form *N*-enoxyphthalimides. Although the copper-mediated arylation of *N*-hydroxyphthalimide with aryl boronic acids is known, to the best of our knowledge, the corresponding process for vinylation has not yet been reported.<sup>[10–12]</sup> Mixtures of copper salts, bases, and desiccants, as well as equivalents of reagents, were screened for their effectiveness in promoting the desired coupling of **1** and **2a**. As shown in entries 1–4 of Table 1, the use of 2 equiv of boronic acid **2a** provided a higher yield of **3a** for both copper-mediated and copper-catalyzed transformations, although the difference in reaction efficiency was more striking for the catalytic process.<sup>[13]</sup> The greater sensitivity of the catalytic reaction to changes in reaction conditions was consistent throughout the optimization process and guided our inquiry. Cu(OAc)<sub>2</sub> (OAc = acetate) was shown to be the

**Table 1:** Optimization of the etherification of *N*-hydroxyphthalimide with 2-butenyl boronic acid.

Entry	[Cu]	[2a]	Base	Yield [%] of <b>3a</b> <sup>[a]</sup>
1	Cu(OAc) <sub>2</sub> (1 equiv)	1 equiv	pyridine	71
2	Cu(OAc) <sub>2</sub> (1 equiv)	2 equiv	pyridine	96
3	Cu(OAc) <sub>2</sub> (20 mol %)	1 equiv	pyridine	6
4	Cu(OAc) <sub>2</sub> (20 mol %)	2 equiv	pyridine	87
5	CuCl (20 mol %)	2 equiv	pyridine	7
6	CuI (20 mol %)	2 equiv	pyridine	78
7	Cu(TFA) <sub>2</sub> (20 mol %)	2 equiv	pyridine	61
8	Cu(OTf) <sub>2</sub> (20 mol %)	2 equiv	pyridine	8
9	CuTC (20 mol %)	2 equiv	pyridine	81
10	Cu(OAc) <sub>2</sub> (20 mol %)	2 equiv	NEt <sub>3</sub>	68
11	Cu(OAc) <sub>2</sub> (20 mol %)	2 equiv	DABCO	NR
12	Cu(OAc) <sub>2</sub> (20 mol %)	2 equiv	imidazole	NR
13	Cu(OAc) <sub>2</sub> (20 mol %)	2 equiv	KOtBu	NR

[a] Yields were determined by <sup>1</sup>H NMR spectroscopy using 1,3,5-trimethoxybenzene as an internal standard; NR = no reaction. DABCO = 1,4-diazabicyclo[2.2.2]octane, DCE = 1,2-dichloroethane, OAc = acetate, TC = 2-thiophenecarboxylate, Tf = trifluoromethanesulfonate, TFA = trifluoroacetate.

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optimal catalyst when compared to other Cu<sup>I</sup> and Cu<sup>II</sup> salts (entries 5–9) and pyridine was shown to be the optimal base when compared to other amines and inorganic bases (entries 10–13). Neither the copper-mediated nor the copper-catalyzed coupling reaction showed any conversion to the desired product when run in the absence of air, and both transformations required the use of a halogenated solvent. The cross-coupling process was fairly insensitive to the choice of desiccant; 4 Å molecular sieves and MgSO<sub>4</sub> gave the desired product in only slightly attenuated yields.<sup>[14]</sup> The optimization study concluded that treatment of a 1:2 mixture of **1/2a** in 1,2-dichloroethane (DCE) with Cu(OAc)<sub>2</sub> (1 equiv or 20 mol %), pyridine (3 equiv), and Na<sub>2</sub>SO<sub>4</sub> (4 equiv) in air provided optimal conversion of **2a** to **3a**.

With the optimal conditions for the cross-coupling of **1** and **2a** in hand, the scope of the transformation was evaluated with a variety of alkenyl boronic acids to determine the tolerance for boronic acid substitution patterns. As shown in Table 2, both copper-mediated and copper-catalyzed conditions converted 1- and 2-*trans*-substituted vinyl boronic acids, *Z*-disubstituted alkenyl boronic acids, and cyclic alkenyl boronic acids to the desired *N*-enoxyphthalimides **3** with retention of alkene geometry.<sup>[15]</sup> Both alkyl- and aryl substituents were tolerated for the boronic acid coupling partner, as were common aryl electron-withdrawing functional groups such as nitro, fluoro, and trifluoromethyl, as well as common protecting groups such as ketals. Trisubstituted alkenyl boronic acids and alkenyl boronic acids with *ortho*-substituted aryl groups currently represent a limitation of this method. Unfavorable steric interactions also hinder the etherification of 6-methyl cyclohexenyl boronic acid **2r**; however, no similar inhibition was observed for the fused system **2v**. The broad scope of the copper-mediated cross-coupling of *N*-hydroxyphthalimide **1** and alkenyl boronic acids **2** ultimately provided an array of *N*-enoxyphthalimides **3** to screen for the [3,3] rearrangement.

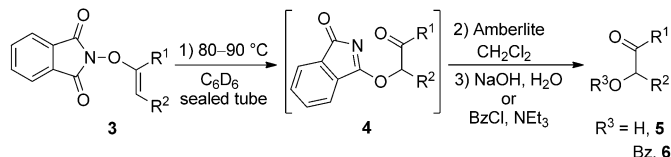
Solutions of *N*-enoxyphthalimides **3** in C<sub>6</sub>D<sub>6</sub> or toluene were heated at 80–90 °C for 10–16 h to promote a [3,3] rearrangement and afford dioxygenated alkenyl boronic acids as imidates **4**. These rearrangements occurred in almost

**Table 2:** Scope of the etherification of *N*-hydroxyphthalimide with alkenyl boronic acids.

Entry	Product	Yield [%] of <b>3</b> <sup>[a]</sup>	Entry	Product	Yield [%] of <b>3</b> <sup>[a]</sup>
1		98 <sup>[b]</sup> (76)	12		82 (73)
2		81 (70)	13		83 (76)
3		87 (74)	14		73 (68)
4		88 (78)	15		91 (89)
5		81 (77)	16		86 (82)
6		47	17		84 (78)
7		86 (77)	18		41
8		76 (67)	19		86 (80)
9		63 (66)	20		64
10		67 (55)	21		83
11		70 (71)	22		76 (52)

[a] Yield of isolated product using 1 equiv Cu(OAc)<sub>2</sub> and (yield of isolated product using 20 mol % Cu(OAc)<sub>2</sub>). [b] When run on a 1 mmol scale, the yield of isolated product using 1 equiv of Cu(OAc)<sub>2</sub> was 74 %. Cy = cyclohexyl, DCE = 1,2-dichloroethane, PhthN = phthalimide, OAc = acetate, pyr = pyridine.

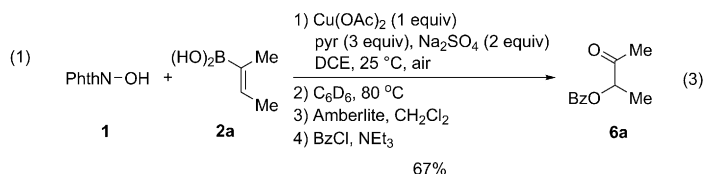
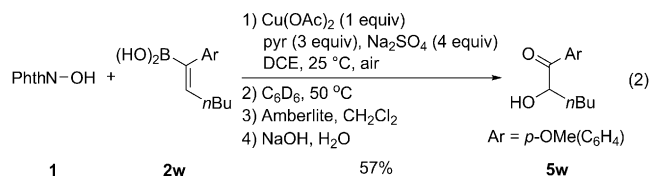
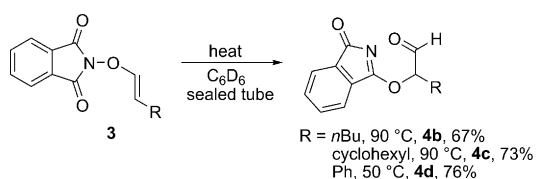
quantitative yields, as determined by comparison to an internal standard by <sup>1</sup>H NMR spectroscopy; however, imidates **4** were unstable when subjected to silica gel chromatography.<sup>[16]</sup> Isolation and purification of  $\alpha$ -hydroxy ketones **5** was achieved in high yield after the hydrolysis of crude samples of **4** (Table 3). An ion-exchange resin provided optimal yields for the cleavage of phthalimide from **4**, but silica gel was similarly effective with longer reaction times.  $\alpha$ -Hydroxyketones **5** that were too volatile or hydrophilic to be separated from phthalimide by extraction were protected in solution and isolated as the corresponding  $\alpha$ -benzoyloxy ketones **6** (Table 3). The *N*-enoxyphthalimides **3b–3d**, underwent rearrangements to form  $\alpha$ -oxygenated aldehydes **4b–4d**, which were isolated without further purification as the

**Table 3:** Preparation of  $\alpha$ -hydroxy- and  $\alpha$ -benzoyloxyketones by rearrangement and hydrolysis of *N*-enoxypthalimides **3**.


Entry	Product	Yield [%] of <b>5</b> <sup>[a]</sup>	Entry	Product	Yield [%] of <b>6</b> <sup>[a]</sup>
1		78 <sup>[b]</sup>	9		86
2		90 <sup>[b]</sup>	10		67
3		82	11		66
	Ar = <i>p</i> -Me(C <sub>6</sub> H <sub>4</sub> )				
4		75	12		69
	Ar = <i>p</i> -NO <sub>2</sub> (C <sub>6</sub> H <sub>4</sub> )				
5		88	13		65
	Ar = <i>p</i> -F(C <sub>6</sub> H <sub>4</sub> )			dr = 55:45 <i>cis/trans</i>	
6		86	14		66
	Ar = <i>p</i> -CF <sub>3</sub> (C <sub>6</sub> H <sub>4</sub> )			dr = 20:80 <i>cis/trans</i>	
7		82	15		69
	dr = 60:40 <i>cis/trans</i>			dr = 55:45 <i>cis/trans</i>	
8		87	16		66
				dr = 75:25 <i>cis/trans</i>	

[a] Yield of isolated product. [b] Hydrolysis promoted with SiO<sub>2</sub>. Bz = benzoyl, Amberlite = Amberlite IR120H, ion-exchange resin.

corresponding imidates to avoid polymerization of the corresponding  $\alpha$ -hydroxy aldehydes [Eq. (1)]. The products shown in Table 3 and equation 1 describe the broad scope of  $\alpha$ -oxygenated carbonyl compounds that can be prepared from the dioxygenation of alkenyl boronic acids with *N*-hydroxyphthalimide **1** through the rearrangement of *N*-enoxypthalimides **3**. This method provides a valuable alternative to

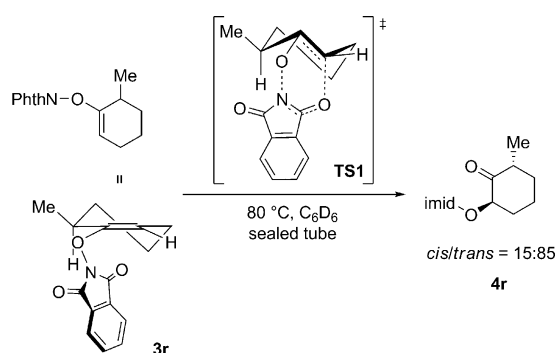


known procedures, which originate from ketone or aldehyde starting materials and employ electrophilic sources of oxygen.

Several aryl-substituted *N*-enoxypthalimides exhibited exceptions to the general thermal reactivity patterns depicted in Table 3 and equation 1 that suggested trends in the [3,3] rearrangement activity of these compounds. *N*-Enoxypthalimide **3d** readily formed **4d** when heated to only 50 °C [Eq. (1)]. This transformation is in contrast to **3b** and **3c**, which rearranged at 90 °C, and **3g**, which exhibited no rearrangement reactivity even when heated to 130 °C. The combination of an aryl group at the 1-position of *N*-enoxypthalimides **3h–3l**, and an alkyl group at the 2-position attenuated the opposing affects observed for **3d** and **3g**, and the rearrangements to afford **4h–4l** occurred at 80 °C (Table 3); however, the addition of an electron-donating group to the aryl ring once again reduced the rearrangement temperature to 25–50 °C to give **5w** [Eq. (2)]. *N*-Enoxypthalimide **3w** could not be isolated, as the copper-mediated coupling provided a 2:1 mixture of **3w/5w**. Filtration of this mixture through silica gel to remove Cu(OAc)<sub>2</sub>, followed by warming to 50 °C for 10 h and hydrolysis, gave **5w** in 57 % yield over three steps. A similarly efficient process was also observed for the transformation of **2a** to **6a** in 67 % yield with no formal purification of intermediates, only the removal of Cu(OAc)<sub>2</sub> prior to rearrangement [Eq. (3)].

$\text{Cu}(\text{OAc})_2$  can be present in substoichiometric amounts during the rearrangement of **3a**, but attenuated yields of **4a** are obtained. These results show that the [3,3] rearrangement of **3** is inhibited by the presence of copper salts and facilitated by electron-donating aryl groups at the 1-position and phenyl substituents at the 2-position of the enol ether.

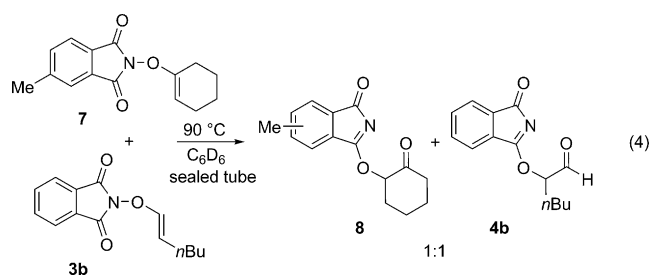
The diastereoselectivity of the [3,3] rearrangement of *N*-enoxyphthalimides was tested using *N*-enoxyphthalimides **3q–3u**, which are derived from substituted cyclohexenyl boronic acids. Compounds **3q**, **3s**, **3t**, and **3u** underwent [3,3] rearrangements to give 50:50 to 60:40, *cis/trans* diastereomeric mixtures of **4** and subsequent hydrolysis to give **6q**, **6s**, and **5t** with no significant change in the diastereomeric ratio (Table 3). Hydrolysis and protection of **4u** epimerized the  $\alpha$ -benzoyl group, resulting in a 75:25 mixture of *cis/trans* **6u** (Table 3). Surprisingly, the rearrangement of **3r** strongly favors formation of the *trans* diastereomer (Scheme 2). We



**Scheme 2.** Diastereoselective rearrangement of **3r** to **4r**.

assume that this result is due to minimization of steric interactions as the rearrangement occurs via a chair transition state (**TS1**). In contrast to 4-substituted cyclohexenyl substrates **3q**, **3s**, and **3u**, rotation to give an approach of the carbonyl oxygen from the higher energy twist conformation and provide the *cis* diastereomer is inaccessible for **3r** because of the 6-methyl substituent, which inhibits rotation of the *N*-enoxyphthalimide around the C–O bond. A moderate increase in the *cis/trans* ratio from 15:85 to 20:80 was observed upon hydrolysis and protection of **4r**. To the best of our knowledge, the diastereomeric ratio observed for **4r** represents the highest observed in favor of the *trans* isomer for 2-methylcyclohexanone  $\alpha$ -oxygenation.<sup>[4d,17]</sup> This implies that the dioxygenation of alkenyl boronic acids may not only provide a new retrosynthetic disconnection for the preparation of  $\alpha$ -oxygenated carbonyl compounds, but also access to relative stereochemical patterns not readily available through enolate oxidation procedures.

The diastereoselectivity observed for the rearrangement of **3r** suggested that the [3,3] rearrangements of *N*-enoxyphthalimides proceed by a unimolecular pericyclic reaction. The intramolecular nature of the transition state was further supported by a crossover experiment using *N*-enoxyphthalimides **3b** and **7** [Eq. (4)]. When a 1:1 mixture of these compounds was heated in  $\text{C}_6\text{D}_6$  at 90 °C for 18 h, only **4b** and **7** were observed and there was no evidence of crossover by  $^1\text{H}$



or  $^{13}\text{C}$  NMR spectroscopy. To investigate the possibility of a radical reaction pathway, a radical clock experiment was tested with *N*-enoxyphthalimide **3f**. Upon heating **3f** in either the presence or the absence of  $\text{Bu}_3\text{SnH}$ , no indication of the formation of an  $\alpha,\beta$ -unsaturated aldehyde was observed, suggesting that the [3,3] rearrangement occurs through a two-electron pathway.

In summary, we have shown that dioxygenation of alkenyl boronic acids **2** with *N*-hydroxyphthalimide **1** can be achieved by a two-step process involving copper-mediated etherification to form an *N*-enoxyphthalimide **3** and a subsequent [3,3] rearrangement to provide  $\alpha$ -hydroxy ketones **5** or  $\alpha$ -benzoyloxy ketones **6**, after hydrolysis of the phthalimide imidate. This transformation provides a new retrosynthetic disconnection for the preparation of  $\alpha$ -oxygenated carbonyl compounds that does not require the use of a highly reactive electrophilic oxygen source or a carbonyl compound as a starting material. Ongoing work in our laboratory is focused on further exploring the synthetic utility of this transformation and exploiting the observed diastereoselectivity.

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- [14] For an expanded optimization table, see the Supporting Information.
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